

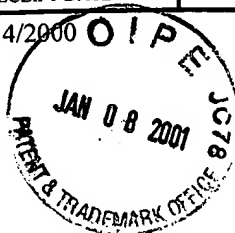


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/661,499	09/14/2000	Juergen Stark	2150/21

Jeffrey A Pine
Baniak Nicholas Pine & Gannon
1603 Orrington Avenue
Suite 2000
Evanston, IL 60201



FORMALITIES LETTER



OC00000005539516

Date Mailed: 11/08/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$972.
 - \$738 for 41 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1792.

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355.00 00 00 00 00
65.00 00 00 00 00
369.00 00 00 00 00
120.00 00 00 00 00

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

01/11/2001 RESUTOM 00000023 09661499

01 FC:201
02 FC:205
03 FC:203
04 FC:202

11/7/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JUERGEN STARK and CRAIG GOREN

Serial No. 09/661,499

Filed: September 14, 2000

Title: INDIVIDUAL XML MESSAGE PROCESSING
PLATFORM



Examiner:

Group Art Unit:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application, Filing Date Granted, dated November 8, 2000, a copy of which is attached, enclosed herewith for filing are the following documents to complete the filing requirements of the above-identified application.

- (✓) Executed Declaration and Power of Attorney
- (✓) Statement Claiming Small Entity Status
- (✓) Check totaling \$909 for:
 - (✓) \$355 Filing fee (small entity) [Utility (\$710; \$355); Design (\$320; \$160)]
 - (✓) \$489 Additional claim fees
 - (✓) \$ 65 Missing Parts Surcharge
- () A Petition for Extension of Time for reply to Notice of Missing Parts is attached.
 - () one month = \$55.00 (small) \$ 110.00 (large)
 - () two months = \$195.00 (small) \$ 390.00 (large)
 - () three months = \$445.00 (small) \$ 890.00 (large)
 - () four months = \$695.00 (small) \$1,390.00 (large)
- () Other: _____
- (✓) The Assistant Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment to Deposit Account No. 50-0930. A duplicate copy of this paper is enclosed.

Respectfully submitted,

January 3, 2001

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Registration No. 36,893

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on January 3, 2001.

1/3/01
Date

Jeffrey A. Pine
Jeffrey A. Pine